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Patent Examining Corps

Facsimile Center

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Robert A. Kalinsky FROM:

OUR REF:

7545.72USC2

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USPTO Centralized Facsimile No.: 1-703-872-9306

Total pages, including cover letter: 5.

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Title of Documents Transmitted:

- 1. Information Disclosure Statement.
- 2. Form 1449.

Applicant:

Tanner et al.

Our Ref. No.:

7545.72USC2

Serial No.:

10/717,190

Confirmation No.: November 18, 2003 Customer No.:

23552

Filed: Group Art Unit:

To Be Assigned

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PÉTITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Name: Robert A. Kalinski

Reg. No.: 50,471

RAK:pll

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

S/N 10/717,190

10:25

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Tanner et al.

Examiner:

To Be Assigned

Serial No.:

10/717,190

Group Art Unit:

To Be Assigned FEB 2 3

XENTRAL FAX CEI

Filed:

November 18, 2003

Docket No.:

7545.72USC2

Customer No.:

23552

Title:

Filter Cartridge Assembly for Water Treatment Device

CERTIFICATE UNDER 37 CFR 1.6(d):

The undersigned hereby certifies that this correspondence is being transmitted via facsimile to: Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450 on February 18

Name: Patricia L. Larrimore

## INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 10/153,299 filed on May 21, 2002.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

> Respectfully submitted, MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, Minnesota 55402-0903 (612) 332-5300

Date: February 18, 2004

Reg. No.: 50,471

RAK:pll

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T-229 P.004/005

F-808

Sheet 1 of 2 Customer No. 23552

Date Mailed: February 18, 2004

FORM 1449\*
INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION
IN AN APPLICATION
(Use several sheets if necessary)

Docket Number:
7545.72USC2
10/717,190

Applicant: Tanner et al.
Filing Date: November 18, 2003
Group Art Unit: To Be Assigned

EXAMINER	DOCUMENT NO.	DATE	S. PATENT DOCUMEN NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
INITIAL	250.066	05/12/1874	Klobassa			
	150.866	03/12/18/4	Scherr			
	165,512	06/06/1893	Benham			
	498,967	03/13/1906	Lca			
	815,102	11/19/1907	Bauman			
	871,253	08/03/1915	Young			
	1,148,943	12/19/1916	Young		-	
<u> </u>	1,208,882	10/17/1922	Barnes et al.			
	1,432,522	09/09/1930	Peters et al.			-
	1,775,355	02/16/1954	Ehrman			
	2,669,707		Tischler			
	3,687,289	08/29/1972	Hankarimer	<del>-</del>		
	4,623,457	11/18/1986	Anderson			
<del>_</del>	4,650,571	03/17/1987	Éllis			
	4,698,164	10/06/1987	Hankammer			
	4,895,648	01/23/1990	Butts et al.			
	4,918,426	04/17/1990				
	4,969,996	11/13/1990	Hankammer			
	4,998,228	03/05/1991	Eger et al.	<del></del>		
	5,057,821	10/15/1991	Card			
	5,065,901	11/19/1991	Brane et al.		<del> </del>	
	5,089,144	02/18/1992	Ozkahyaoglu et al.	<del>-  </del>		
	5,128,034	07/07/1992	Kool			
	5,139,666	08/18/1992	Charbonneau et al.		<del>-</del>	
	5,190,643	03/02/1993	Duncan et al.			
	5,236,578	08/17/1993	Oleskow et al.		<del> </del>	
	5,328,597	07/12/1994	Boldt, Jr. et al.	<del></del>	<del></del>	
	5,435 <u>,909</u>	07/25/1995	Витомѕ			
	5,457,665	10/10/1995	Reid		-	
	5,486,285	01/23/1996	Feency			
	5,536,394	07/16/1996	Lund et al.		<del></del>	
	5,536,396	07/16/1996	Mudra et al.			
	5,562,824	10/08/1996	Magnusson		<del> </del>	
	5,665,224	09/09/1997	Levene et al.			1
	5,785,844	07/28/1998	Lund et al.			<del></del>
	5,882,507	03/16/1999	Tanner et al.		1	<u> </u>

EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

<sup>\*</sup>Substitute Disclosure Statement Form (PTO-1449)

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T-229 P.005/005

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Date Mailed: February 18, 2004

Sheet 2 of 2 Customer No. 23552

FORM 1449*	INFORMATION DISCLOSURE STATEMENT	Docket Number: 7545.72USC2	Application Number: 10/717,190
	IN AN APPLICATION  (Use several sheets if necessmy)	Applicant: Tanner et al. Filing Date: November 18, 2003	Group Art Unit: To Be Assigned

		U.S. PA	TENT DOCUMENTS (	ontinued)		FILING	DATE
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	IF APPRO	
JATTIAL	6,103,114	08/15/2000	Tanner et al.				
	6,423,224 B1	07/23/2002	Tanner et al.				
	6,649,045 B2	11/18/2003	Tanner et al.				
		FOR	EIGN PATENT DOCU	MENTS		ZIA ATT	LATION
	DOCUMENT NO.	DATE	COUNTRY	ÇLASS	SUBCLASS	YES	NO
						X	1.13
	35 35 679 A1	05/07/1987	DE			X X	
	37 37 476 C1	10/06/1988	DE				
	3284394	12/16/1991	JP				
					<del> </del>		-
	,				Proces Page		
	OTHE	R DOCUMENT	S (Including Author, Tit	ic, Date, Pertincut	Pages, Elc.)		

	DATE CONSIDERED	
EXAMINER		 t unit if and in the formance

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

<sup>\*</sup>Substitute Disclosure Statement Form (PTO-1449)